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**Express Mail Mailing Label No. EV668187849US** 

PATENT Attorney Docket No. INL-048

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Amill et al.

**CONFIRMATION NO.:** 

3281

**SERIAL NO.:** 

10/022,481

**GROUP NO.:** 

1641

FILED:

December 18, 2001

**EXAMINER:** 

Long V. Le

TITLE:

FREE ANALYTE DETECTION SYSTEM

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### PETITION UNDER 37 C.F.R. § 1.182

Applicants hereby petition the Director of the United States Patent and Trademark Office ("USPTO") under 37 C.F.R. § 1.182 to consider the Office action dated December 15, 2004, as a non-final Office action, to enter and consider the Amendment and Response filed on April 15, 2005, as a timely-filed response after non-final rejection in the above-identified patent application, and to withdraw the untimely issued Advisory Action of July 13, 2005.

The Director is authorized to charge the petition fee as set forth in 37 C.F.R. § 1.17(f) to Deposit Account No. 50-1721. Applicants believe that no additional fee is required for consideration of this petition. However, if Applicants' belief is incorrect, the Director also is authorized to charge any additional fee to Deposit Account No. 50-1721.

The Office action dated December 15, 2004, was marked as a non-final Office action on its cover sheet. However, the last page of the Office action indicated that the Office action was final. The Image File Wrapper of the USPTO's publicly-available on-line database, Patent Application Information Retrieval (PAIR), indicated on April 15, 2005, that the Office action dated December 15, 2004, was a non-final rejection. However, the Transaction History of PAIR indicated that a final rejection was mailed on December 15, 2005.

Applicants timely filed an Amendment and Response on April 15, 2005, together with a petition and fee for a one-month extension of time for response up to and including April 15, 2005. As a precautionary measure, the Amendment and Response was sent to Mail Stop AF on April 15, 2005, for expedited processing.

According to publicly available records in PAIR on July 1, 2005, the Amendment and Response filed on April 15, 2005, was scanned into the file and shown in the Image File Wrapper as an Amendment After Non-Final Rejection. On July 1, 2005, the Transaction History did not show the filing of the Amendment and Response of April 15, 2005. Copies of the content of the Image File Wrapper and the Transaction History printed from PAIR on July 1, 2005, are attached herewith as Exhibit A.

Examiner Deborah Davis acknowledged during a telephonic interview with the undersigned on July 1, 2005, that she was not aware that a response to the Office action of December 15, 2004, was filed. Supervisory Examiner Long Le acknowledged during a telephonic interview on July 1, 2005, that his review of the USPTO database did not indicate that the Amendment and Response filed on April 15, 2005, had been entered and considered. A copy of Examiner Long Le's summary of the interview is attached herewith as Exhibit B.

Following the telephonic interview, the Amendment and Response filed on April 15, 2005, was forwarded by the USPTO to the Examiner on July 1, 2005, as indicated on July 19, 2005, in the Transaction History of PAIR, a copy of which is enclosed as Exhibit C. After Examiner Davis and Examiner Le were notified by the undersigned that an Amendment and Response was filed on April 15, 2005, an Advisory Action was mailed from the USPTO on July 13, 2005, more than six months after the mailing date of the December 15, 2004, Office action and almost three months after the Amendment and Response to the December 15, 2004, Office action was filed by Applicants.

The Manual of Patent Examining Procedure (MPEP) states that "[r]eplies after final should be processed and considered promptly by all Office personnel." MPEP § 706.07(f)(K). The facts here indicate that the USPTO did not process and consider promptly the Amendment and Response filed on April 15, 2005. Instead, as indicated in PAIR on July 1, 2005, the Amendment and Response was processed as a reply after non-final rejection. After the inquiry by the undersigned, an Advisory Action was mailed on July 13, 2005.

Petition under 37 C.F.R. § 1.182 U.S. Serial No. 10/022,481 Page 3 of 3

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Furthermore, Applicants submit that regardless of the status of the Office action of

December 15, 2004, by filing the Amendment and Response on April 15, 2005, with proper

extension fees, Applicants have prosecuted the application within the statutory required time

period set forth in 35 U.S.C. § 133. Applicants have paid-in-full the filing fee for a utility

application under 37 C.F.R. § 1.51 and § 1.16 and are entitled to timely examination and

consideration of their application. The failure of the USPTO to process and consider promptly

Applicants' response timely filed on April 15, 2005, is through no fault of the Applicants. The

USPTO's confusing identification of the status of the December 15, 2004, Office action and

delayed examination and issuance of an Advisory Action in this patent application diminishes

the value of Applicants' filing fee if Applicants are required to file a petition to revive this

application for alleged failure to take action under 37 C.F.R. § 1.113(c) or § 1.114.

Accordingly, Applicants respectfully request that the Director of the USPTO grant the

Petition under 37 C.F.R. § 1.182 to make the Office action of December 15, 2005, non-final as

indicated on its cover sheet, consider and enter the Amendment and Response filed on April 15,

2005, as a timely-filed response after non-final rejection as indicated in PAIR, and withdraw the

Advisory Action mailed on July 13, 2005.

If there are any questions with respect to this Petition, the USPTO is welcomed to contact

the undersigned agent.

Date: July 19, 2005

Reg. No. 51,551

Tel. No.: (617) 261-3198

Customer Number: 022832

Fax No.: (617) 261-3175

Respectfully submitted,

Fangli Chen, Ph.D.

Agent for Applicants

Kirkpatrick & Lockhart Nicholson Graham LLP

75 State Street

Boston, Massachusetts 02109

**Express Mail Mailing Label No. EV 668187849US** Application Serial Number 10/022,481 Filing Date December 18, 2001 First Named Inventor Amill Group Art Unit 1641 Examiner Name Long V. Le **FORM** Attorney Docket No. INL-048 Patent No. Not applicable Issue Date Not applicable ENCLOSURES (check all that apply) □ Fee Transmittal Form Copy of Notice to File Missing Notice of Appeal to Board Parts of Application of Patent Appeals and Interferences ☐ Check Attached Copy of Fee Formal Drawing(s) Appeal Brief (in triplicate) Transmittal Form Amendment/Response Request For Continued Status Inquiry Examination (RCE) ☐ Preliminary **Transmittal**  $\boxtimes$ ☐ After Final Return Receipt Postcard ☐ Affidavits/declaration(s) Power of Attorney Letter to Official (Revocation of Prior Powers) Certificate of First Class Mailing Draftsperson under 37 C.F.R. 1.8 including Drawings [Total Sheets \_\_\_\_ Terminal Disclaimer Certificate of Facsimile Transmission under 37 C.F.R. 1.8 **Executed Declaration and Power**  $\boxtimes$ Petition for Extension of Additional Enclosure(s) of Attorney for Utility or Design (please identify below) Time Patent Application • Petition Under 37 C.F.R. § 1.182 Exhibit A Exhibit B · Exhibit C Information Disclosure Small Entity Statement Statement Form PTO-1449 Copies of IDS  $\Box$ CD(s) for large table or computer Citations program Certified Copy of Priority П Amendment After Allowance Document(s) Request for Certificate of Sequence Listing submission Correction ☐ Paper Copy/CD Certificate of Correction (in ☐ Computer Readable Copy duplicate) ☐ Statement verifying identity of above **CORRESPONDENCE ADDRESS** SIGNATURE BLOCK Respectfully submitted, Direct all correspondence to: Patent Administrator Date: July 19, 2005 Kirkpatrick & Lockhart Nicholson Fangli Chen, Ph.D. Reg. No. 51,551 Graham LLP Tel. No.: (617) 261-3198 Agent for Applicant(s) 75 State Street Fax No.: (617) 261-3175 Kirkpatrick & Lockhart Nicholson Boston, MA 02109-1808 Graham LLP Tel. No.: (617) 261-3100

75 State Street

Boston, MA 02109-1808

Fax No.: (617) 261-3175

Express Mail Mailing Label No. EV 668187849US



	Complete if Known
Application Serial Number	10/022,481
Filing Date	December 18, 2001
First Named Inventor	Amill
Group Art Unit	1641
Examiner Name	Long V. Le
Attorney Docket No.	INL-048

METHOD OF PAYMENT		FEE CALCULATION (continued)			
1. Payment Enclosed:		3. ADDIT	TIONAL FE	ŒS	
☐ Check ☐ Money Order	Other	Large	Small		
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2. The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission		(\$)	(\$)	ree Description	reeraid
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Required Fees (copy of the		130	65	Surcharge - late filing fee or oath	
Additional fee required u		50	25	Surcharge - late provisional filing fee	
1.17.				or cover sheet	
Overpayment Credit.		130	130	Non-English specification	
3. Applicant claims small entity		2,520	2,520	Request for ex parte reexamination	
FEE CALCULATI		120	60	Extension for reply within first month	
1. FILING/SEARCH/EXAM/SIZE FEI	ES	450	225	Extension for reply within second month	
Large Entity		1020	510	Extension for reply within third month	
Fee (\$) Fee Description	Fee Paid	1590	795	Extension for reply within fourth	
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		2160	1080	Extension for reply within fifth month	
300 Utility filing fee		500	250	Notice of Appeal	
500 Utility search fee		500	250	Filing a brief in support of an appeal	ļ
200 Utility exam fee		1000	500	Request for oral hearing Petitions to the Commissioner (Gp. I)	\$400.00
250 Utility size fee (each add'1 50 pg 200 Design filing fee	s. over 100)	400 200	400 200	Petitions to the Commissioner (Gp. 1)	\$400.00
100 Design search fee		130	130	Petitions to the Commissioner (Gp. II)	
130 Design exam fee		180	180	Submission of Information Disclosure	
250 Design size fee (cach add'l 50 p	es. over 100)	1 100	100	Statement	
		790	395	Filing a submission after final	
		- X		rejection (37 CFR 1.129(a))	
Number Number	Rate Amount	790	395	For each additional invention to be	
Filed Extra				examined (37 CFR 1.129(b))	
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Independent		130	65	applicant's error Submission of Terminal Disclaimer	
B	x \$200.00 = 0.00	Other fee		Supplemental Information Disclosure	
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		Other fee	(Specify)		
☐ Multiple Dependent Claim(s), if any	\$360.00 = TOTAL:				
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CORRESPONDENCE A	DDRESS			SIGNATURE BLOCK	
Direct all correspondence to:				Respectfully submitted,	
Patent Administrator		Date: July 19	, 2005	Hen Vi	_
Kirkpatrick & Lo	ockhart Nicholson	Reg. No.: 51,		Fangli Chen, Ph.D.	Ī
Graham LLP		Tel. No.: (617			
75 State Street		Fax No.: (61	7) 261-3175		olson
Boston, MA 021		1		Graham LLP	Ī
Tel. No.: (617) 2		l		75 State Street	
Fax No.: (617) 2			Boston, MA 02109-1808		

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Application Number -

SUBMIT

. 10/022,481

Free Analyte detection system

Data

History

Wrapper |

Application Transaction Image File Continuity Published Publication Data

Documents

**Dates** 

This application is officially maintained in electronic form. To View: C Print: Check the desired document(s) and click StartDownload.

Mail Room Date	Document Description
04-26-2005	Bibliographic Data Sheet
04-22-2005	Communication - Re: Power of Attorr (PTOL-308)
04-15-2005	Fee Worksheet (PTO-875)
04-15-2005	Claims Worksheet (PTO-2022)
04-15-2005	Amendment - After Non-Final Rejection
04-15-2005	Claims
04-15-2005	Applicant Arguments or Remarks  Made in an Amendment
04-15-2005	Extension of Time
04-15-2005	Transmittal to TC
04-15-2005	Power of Attorney (may include Asso POA)
04-15-2005	Application Data Sheet
04-15-2005	Information Disclosure Statement (ID Filed
04-15-2005	NPL Documents

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1.	
04-15-2005	NPL Documents
04-15-2005	NPL Documents
12-15-2004	Non-Final Rejection
12-15-2004	Search information including classification, databases and other search related notes
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08-04-2004	<u>Specification</u>
08-04-2004	<u>Claims</u>
08-04-2004	Applicant Arguments or Remarks Ma an Amendment
07-02-2004	Miscellaneous Action with SSP
05-17-2004	Examiner Interview Summary Record (PTOL - 413)
05-17-2004	Informal or Non-Responsive Amendment
05-17-2004	Specification
05-17-2004	<u>Claims</u>
05-17-2004	Applicant Arguments or Remarks Ma an Amendment
11-05-2003	Non-Final Rejection
11-05-2003	List of references cited by examiner
11-05-2003	List of References cited by applica and considered by examiner
10-29-2003	Examiner's search strategy and resul
10-28-2003	Examiner's search strategy and re-
10-27-2003	Examiner's search strategy and resul
10-26-2003	Examiner's search strategy and re-
04-16-2003	Requirement for Restriction/Election
09-17-2002	Foreign Reference
09-17-2002	Foreign Reference

09-17-2002	Foreign Reference
09-17-2002	NPL Documents
09-17-2002	Information Disclosure Statement Filed
09-17-2002	NPL Documents
09-17-2002	Authorization from Applicant to treal replies as incorporating Extens of Time, and charge all fees to a deposit account
09-17-2002	Response to Election / Restriction Fil
09-17-2002	Extension of Time
03-05-2002	Miscellaneous Incoming Letter
03-05-2002	<u>Drawings</u>
03-05-2002	Information Disclosure Statement (ID Filed
03-05-2002	Oath or Declaration filed
01-29-2002	Miscellaneous Action with SSP
12-18-2001	Issue Information including classification, examiner, name, cla renumbering, etc.
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12-18-2001 12-18-2001 12-18-2001 12-18-2001 12-18-2001 12-18-2001 12-18-2001	classification, examiner, name, clarenumbering, etc.  Search information including classification, databases and other serelated notes  Index of Claims  Transmittal letter  Drawings  Specification  Claims  Abstract  Fee Worksheet (PTO-875)

		<u>Filed</u>
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	12-18-2001	Application Data Sheet
	12-18-2001	Transmittal letter
	12-18-2001	Application Data Sheet
	12-18-2001	<b>Specification</b>
	12-18-2001	<u>Claims</u>
	12-18-2001	Abstract
$\ $	12-18-2001	<u>Drawings</u>
	12-18-2001	Miscellaneous Incoming Letter

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Viewei Downtoad. PDF		04-15-2005	Information Disclosure State
Patent Information		04-22-2005	Correspondence Address Char
About Patents		04-22-2005	Change in Power of Attorney
Manual of Patent Examining Procedure		12-15-2004	Mail Final Rejection (PTOL - 3
Patent Assistance Center	İ	12-13-2004	Final Rejection
Employee Locator Search		10-06-2004	IFW TSS Processing by Tech
Valid Location Codes Telephone Directory		10-06-2004	Date Forwarded to Examiner
• Fees		08-04-2004	Response after Non-Final Action
Pay online (RAM)		08-04-2004	Request for Extension of Tim
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News, Federal Register & OG Notices  PTDL Program - libraries near		07-02-2004	Mail Notice of Informal or No
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Registered Patent Attorneys & Agents		07-01-2004	Date Forwarded to Examiner
		05-17-2004	Informal or Non-Responsive
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Other Search Resources & Tips		09-17-2003	Request for Extension of Tin
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		09-17-2002	Information Disclosure Stateme
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Products & Services		03-05-2002	Information Disclosure Stateme
		04-10-2002	Case Docketed to Examiner
Other   - 🗆		<del></del>	

		12-18-2001	Initial Exam Team nn
	·	01-08-2002	IFW Scan & PACR Auto Securi
		01-29-2002	Notice Mailed—Application In
		03-05-2002	A statement by one or more inv 35 USC 115, Oath of the Applic
		03-05-2002	Additional Application Filing
<u>Reports</u> Trademar <u>ks</u>		03-29-2002	Application Is Now Complete
Policy & Law		04-01-2002	Application Dispatched from
Copyrights		12-18-2001	Information Disclosure Stateme

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# Exhibit B



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INL-048

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# Fax Cover Sheet

Date: 05 Jul 2005

From: Long Le
Art Unit: 1641
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Number of pages 4 including this page

### S' ATEMIENT OF CONFIDENTIALITY

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	Application No		Applicant(s)	
A de la companya ma	10/022,481		SALES AMILL	
Interview Summary	Examiner		Art Unit	
	Long V. Le		1641	
All participants (applicant, applicant's representative, PTO	personnel):			
(1) <u>Long V. Le</u> .	(3)			
(2) Ms. Fangle Chan.	(4)			
Date of Interview: 01 July 2005.		•		
Type: a)⊠ Telephonic b)□ Video Conference c)□ Personal [copy given to: 1) = applicant	2) applicant's	representative	∍]	
Exhibit shown or demonstration conducter: d) Yes If Yes, brief description:	e)⊠ No.			
Claim(s) discussed: None.				,
Identification of prior art discussed: None.				
Agreement with respect to the claims f) was reached.	g)⊡ was not re	ached. h)⊠ N	N/A.	
Substance of Interview including description of the general reached, or any other comments: See Continuation Sheet	al nature of what <u>t</u> .	was agreed to	if an agreement	was
(A fuller description, if necessary, and a c ) py of the amer allowable, if available, must be attached. A so, where no allowable is available, a summary thereof in ust be attached.	copy of the ame	ne examiner ag ndments that v	reed would rend would render the	er the claims claims
THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04. If a reply to the last Office action has already been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTER /IEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WICHEVER IS LATER, TO FILE /. STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirem at so on reverse side or on attached sheet.				
·				
			•	
	•			
Examiner Note: You must sign this form unless it is an				
Attachment to a signed Office action.	ĺ	xaminer's sig	nature, if require	d

## BEST AVAILABLE COPY

### Summar / of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713 (4, Substance of Interview Must be Made of Record A complete written statement as to the substance of any face-to-face, videc conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was esched at the interview.

### Title 37 Co le of Federal Regulations (CFR) § 1.133 Interviews Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 ( 171) §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be tran acted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relatio 1 to which there is disagreement or doubt.

The action of the Patent and Trademark Offic: cannot be based exclusively on the written record in the Office if that record is itself incomplete through the fallure to record the substance of interviews.

It is the responsibility of the applicant or the a to ney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the ex: m ner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Sumn ary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling 13 the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwice provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office a stime or the like, are excluded from the interview recordation procedures below. Where the substance of an Interview is completely recorded in an examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal Int an lew, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the Interview. In the case of a telephone or video-conference Interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If at ditional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed pron pl y after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Sorial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-cont aronce, or personal)
- Name of participant(s) (applicant, attornity or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art ( is:ussed
- An indication whether an agreement war reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examine to the contrary.
- The signature of the examiner who concucted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remin I the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summer 'Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the Interview.

A complete and proper recordation of the st tistance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposec amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,

(The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the a griments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)

- 6) a general indication of any other pertiner triatters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

### **Examiner to Check for Accuracy**

If the claims are allowable for other reason : cf record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is co ripleto and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

Continuation Sheet (PTO-413)

# BEST AVAILABLE COPY

Application No. 10/022,481

Continuation of Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: The attorney called to darify the status of the case because the record is unclear. The office action dated 12.15/04 was made final, but the cover sheet was marked as non-final. An amendment has been submitted on 04/15/05 as a response after final. The response has been scanned in the IFW, however, Palm transaction has not been processed. Suggested Applicant that she should not wait for an advisory action and that an RCE should be filed. The amendment indicated that the RCE will be entered since the office has not entered/responded to Applicant's after final response within proper time period.

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Exhibit C



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	04-16-2003	Requirement for Restriction / El
	09-17-2002	Information Disclosure State
	03-05-2002	New or Additional Drawing File
Name of Parties of the Parties of th	03-05-2002	Information Disclosure State
	04-10-2002	Case Docketed to Examiner in
	12-18-2001	Information Disclosure State
	04-01-2002	Application Dispatched from Ol
	03-29-2002	Application Is Now Complete
:	03-05-2002	Additional Application Filing Fe
	03-05-2002	A statement by one or more i under 35 USC 115, Oath of th
	01-29-2002	Notice Mailed-Application Inco
	01-08-2002	IFW Scan & PACR Auto Secu
	12-18-2001	Initial Exam Team nn

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